Date: September 5, 2006

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Customer No.: 00909

SEP 0 5 2006

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE PATENT APPLICATION OF:	Joseph G. GATTO
SERIAL NO.:	09/982,838
ATTORNEY DOCKET NO:	089070-0311376 (*23449-020*)
FILING DATE:	October 22, 2001
ART UNIT :	3624
EXAMINER	NARAYANSWAMY SUBRAMANIAN
FOR:	SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

COMMENTS ON EXAMINER'S
STATEMENT OF REASONS FOR ALLOWANCE

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Dear Sir:

In the Notice of Allowability mailed **July 21, 2006** (hereinafter "Notice") in the above-referenced application, the Examiner included a statement of reasons for the indication of allowable subject matter [Notice, pgs. 2-5] that focused on the following reference relied upon by the Examiner during prosecution: Lawrence D. Brown & Michael S. Rozeff, Analysts can forecast accurately!, The Journal of Portfolio Management (Spring 1980), pgs. 31-34 (hereinafter "Brown").

Applicant does not necessarily agree with the Examiner's characterization of the teachings of Brown in the Notice, and further notes that other bases for patentability may exist in

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addition to those set forth by the Examiner in the Notice. As such, this statement of reasons is

not exhaustive and should not be viewed as limiting.

It is believed that no fees are due in connection with the filing of this paper. In the event

that it is determined that fees are due, however, the Director is hereby authorized to charge the

undersigned's Deposit Account No. 033975 **(Ref. No. 089070-0311376)**.

Date: September 5, 2006   Respectfully submitted,

                                By: _____
                                       Bradford C. Blaise
                                       Registration No. 47,429

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